About GLEIF

Established by the Financial Stability Board in June 2014, the Global Legal Entity Identifier Foundation (GLEIF) is tasked to support the implementation and use of the Legal Entity Identifier (LEI). The foundation is backed and overseen by the LEI Regulatory Oversight Committee, representing public authorities from around the globe that have come together to jointly drive forward transparency within the global financial markets. GLEIF is a supra-national not-for-profit organization headquartered in Basel, Switzerland.

GLEIF makes available the Global LEI Index; i.e. the only global online source that provides open, standardized and high quality legal entity reference data. By doing so, GLEIF enables people and businesses to make smarter, less costly and more reliable decisions about who to do business with.

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Global Legal Entity Identifier Foundation (GLEIF)

Stakeholder(s):
LEI Supporters:
Following the financial crisis, the goal of the drivers of the LEI initiative - the Group of 20, the Financial Stability Board and many regulators around the world - was to use the LEI to create transparency in the derivatives markets. As demonstrated with the current LEI population, these efforts have generated excellent results.

Group of 20

Financial Stability Board:
Created in 2009, the Financial Stability Board (FSB) is an international body that monitors and makes recommendations about the global financial system. It does so by coordinating national financial authorities and international standard-setting bodies as they work towards developing strong regulatory, supervisory and other financial sector policies. It fosters a level playing field by encouraging coherent implementation of these policies across sectors and jurisdictions. The FSB, working through its members, seeks to strengthen financial systems and increase the stability of international financial markets. The policies developed in the pursuit of this agenda are implemented by jurisdictions and national authorities. The FSB Charter was endorsed by the heads of state and government of the Group of 20. The FSB is the founder of the Global Legal Entity Identifier Foundation (GLEIF) and appointed the first GLEIF Board of Directors.

Regulators
Legal Entity Identifier Regulatory Oversight Committee:
The Legal Entity Identifier Regulatory Oversight Committee (LEI ROC) is a group of public authorities from around the globe established in January 2013 to coordinate and oversee a worldwide framework of legal entity identification, the Global LEI System. In its role as overseer of the Global Legal Entity Identifier Foundation (GLEIF), the LEI ROC ensures that GLEIF upholds the principles of the Global LEI System.

Legal Entities:
To date, LEIs have been assigned to legal entities based primarily in the U.S. and Europe where regulations require the use of LEIs to uniquely identify counterparties to transactions in regulatory reporting.

U.S.
Europe

Public Authorities:
Public authorities in these jurisdictions rely on the LEI to evaluate risk, take corrective steps and, if required, minimize market abuse and improve the accuracy of financial data.

Business Community:
GLEIF’s position is that broad LEI adoption will also generate significant advantages for the wider business community.

Stephan Wolf:
CEO — Stephan Wolf was appointed by the Global Legal Entity Identifier Foundation (GLEIF) Board as the CEO of GLEIF. Since January 2017, Mr. Wolf is Co-convener of the International Organization for Standardization Technical Committee 68 FinTech Technical Advisory Group (ISO TC 68 FinTech TAG). The group acts as an advisory sounding board to support and engage with the Fintech community on standards requirements, for the effective and efficient use and development of standards to support FinTechs and the broader financial services industry, delivered using a cooperative relationship approach. The focus of the FinTech TAG will be on the following areas: Financial messaging, semantic technologies, blockchain / distributed ledger technology, mobile / digital wallets, digital currencies as well as security considerations related to these topics and interoperability with existing bank-channels. In January 2017, Mr. Wolf was named one of the Top 100 Leaders in Identity by One World Identity. Mr. Wolf has extensive experience in establishing data operations and global implementation strategy. He has led the advancement of key business and product development strategies throughout his career. Mr. Wolf co-founded IS Innovative Software GmbH in 1989 and served first as its managing director. He was later named spokesman of the executive board of its successor IS. Teledata AG. This company ultimately became part of Interactive Data Corporation where Mr. Wolf held the role of CTO until 2010 with development teams in North America, Europe and Asia-Pacific. He has a proven ability to build and to manage large organizations and partnerships, both globally as well as regionally with the ability to deliver complex solutions to the financial services industry. As part of his voluntary activities he was member of the board of the E-Finance Lab, an industry-academic partnership between Frankfurt and Darmstadt Universities and partners Deutsche Bank, Deutsche Börse, DZ Bank Gruppe, Finanz Informatik, IBM, T-Systems, 360 Treasury Systems and Interactive Data Managed Solutions located at J. W. Goethe University, Frankfurt am Main. As industry representative on the Business Informatics/Information Systems technical committee at ASIIN (German registered accreditation association with the right to award the accreditation seal of the German Accreditation Council) he

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helped with candidate interviews for bachelor and master degree programs in Applied Computer Science at several German universities. Mr. Wolf was Co-editor of the journals “Wirtschaftsinformatik” and “JavaSPEKTRUM”. He was lecturer at the University of St. Gallen, Switzerland as well as director of the working committee for software products, BVB Bundesverband Informations- und Kommunikations-Systeme e. V. Mr. Wolf holds a university degree in business administration from J. W. Goethe University, Frankfurt am Main.

**GLEIF Board of Directors**

**Gerard Hartsink**

THE NETHERLANDS — Chairman: GLEIF — Gerard Hartsink was appointed by the Financial Stability Board as Chairman of the GLEIF Board of Directors. Mr. Hartsink serves as member of the World Trade Board that has the objective to enable global trade. He is active in organizations promoting e-commerce (member of the Digital Economy Commission of ICC) and e-government (member of the Forum Standaardisatie of the Dutch Government). He has broad experience in the payments, securities and forex industry. He served as the Chairman of CLS Bank International, the European Payments Council and of the RMG of ISO 20022 and as Board Member of SWIFT, LCH.Clearnet Group, Euroclear Netherlands, Euro Banking Association (EBA) and Electronic Commerce Platform Nederland. As former Senior Executive Vice President of ABN AMRO Bank he has over thirty years experience in sales, product development, regulatory affairs, information management and operations. He holds MA degrees in political economy from the University of Amsterdam and in law from the Free University in Amsterdam.

**Nabil Al-Mubarak**

SAUDI ARABIA — Vice Chairman & CEO of SIMAH Rating Agency (Tassnief) from October 2017 — From March 2004 until September 2017 Nabil Al-Mubarak was Co-Founder & Chief Executive Officer (CEO) of the Saudi Credit Bureau (SIMAH). In addition, he was Chairman of QARAR Company from 2012 until 2017, and VEDA@SIMAH Company from 2014 until 2017. He serves as Board Director of the Global Legal Entity Identifier Foundation (GLEIF) since 2014, and was Member of the International Committee for Credit Reporting (ICCR) in the World Bank from 2009 until 2017. Mr. Al-Mubarak has over twenty years of experience in banking & credit at the Saudi Arabian Monetary Agency (SAMA) and Saudi Credit Bureau (SIMAH) and as member of many committees in the KSA. Mr. Al-Mubarak holds a Master degree in Information Management and Finance from the University of Westminster, United Kingdom, and is a Certified Public Accountant from SOCPA. He holds a Bachelor degree in Accounting from King Saud University, Saudi Arabia. He also attended many high execution management training programs such as IMD & INSEAD.

**Sandra Boswell**

AUSTRALIA — Based in Australia, Sandra Boswell has over 25 years of experience as a specialist working with companies who undertake innovation in Australia assisting them with seeking Government grants and funding to undertake novel projects. Sandra was a partner for 15 years with PwC Australia retiring in 2018. During that time she led businesses within PwC and was also a member of the Australian Governance Board of Partners. She chaired the Finance and Operations (Audit) Committee whilst on the Board and was a member of several other committees. In 2017 she was appointed as a member of the PwC Asia Pacific Board. She is currently a Director and National Leader of Innovation and Incentives at Glasshouse Advisory, part of the Xenith IP group working with companies across sectors on their innovation strategies and assisting them in seeking both direct and indirect Government funding. Sandra has worked with the Australian Government with regard to innovation policy and administration and has assisted with the drafting of legislation and policy on attracting innovation investment to the country. She believes that innovation is vital to a healthy robust economy. She has worked with companies in the digital economy and financial services sector particularly fintech with relation to the development of new technologies in this sector. Sandra is also a non executive Director of the Heart Research Institute and the Sisters of Charity Foundation both based in Australia. Sandra has a Bachelor of Economics (social sciences) from the University of Sydney.

**Jefferson Braswell**

USA — CEO and Founding Partner: Tahoe Blue Ltd — With over 25 years of experience in data, Hany Choueiri is the CEO and Founding Partner at Tahoe Blue Ltd, and has been successfully providing leading-edge business solutions for the financial sector for over 30 years. Tahoe Blue Ltd provides advisory and professional services for the design and implementation of risk management systems and enterprise data management solutions for the financial industry. Mr. Braswell is a member of the Board of Governors of the ACTUS Financial Research Foundation, and has contributed to many financial standards efforts, including the Aggregation Feasibility Study Group (AFSG) organized by the Financial Stability Board. Mr. Braswell was an active coordinator of the FSB LEI Private Sector Preparatory Group (PSPG), and editor of the compiled PSPG recommendations and requirements analysis delivered to the then newly formed LEI Regulatory Oversight Committee (LEI ROC) in January 2013. As co-founder and President of Berkeley-based Risk Management Technologies (subsequently acquired by Fair, Isaac Corporation), he designed and led the successful development of advanced enterprise risk management solutions for many of the world’s largest financial institutions, including Wells Fargo, Credit Suisse, Chase, PNC, Sumitomo Mitsui Banking Corporation, Mellon, Abbey National, Wachovia, Union Bank and ANZ. Mr. Braswell was a Research Assistant at U.C. Berkeley while working on a Masters in Electrical Engineering and Computer Science and he has a B.A. in History from Princeton University in 1970.

**Hany Choueiri**

UNITED KINGDOM — Chief Data Officer: Aldermore Bank — With over 25 years of experience in data, Hany Choueiri is currently the Chief Data Officer at Aldermore Bank heading up Advanced Analytics and Data Governance and is responsible for driving the Bank’s data strategy. Previously, Mr. Choueiri consulted on the General Data Protection Regulation (GDPR) for Central Eastern Europe, AON based in the UK. Before AON, Mr Choueiri worked as the Bank of England’s first Chief Data Officer leading the strategic One Bank Data initiative while also representing the Bank of England at the LEI Regulatory Oversight Committee and at State Street as the Head of Data Governance and Strategy for the EMEA region. Between 2009 and 2014, Mr. Choueiri worked in various roles at HSBC

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most recently as the GBM Chief Data Officer, Europe & Head of Data Quality Services where he was instrumental at spear-heading the first LEI registrations for the HSBC Group of companies. Having pioneered one of the first Data Warehouses, Mr. Choueiri’s career has been centered around data and its management resulting in unique insights on the opposing perspectives of data as a risk versus data as a benefit. Mr. Choueiri obtained a Master of Science degree in Information Engineering, specialising in Robotics from the City University, London. He also studied at University College London where he obtained a Bachelor of Science degree in Mechanical Engineering. He holds a number of other qualifications including PRINCE2, MSP, IAPP CIPP/E and CIPP/US in data privacy.

Daniel Cotti:
SWITZERLAND — Managing Director: TradeIX, Center of Excellence, Banking & Trade, Marco Polo Network — Daniel has over 30 years of experience in Global Trade Finance, Transaction Services, Banking and Technology. Daniel was the European Trade Finance Head at Citibank and the Global Head of Trade Finance and Transaction Products at ABN AMRO/BN. Subsequently, he managed and built the Global Trade Finance and Loans business at JP Morgan. In 2015, he founded Cotti Trade & Treasury, a consultancy firm for global trade finance. Daniel is a Trade Finance industry expert and had industry wide, global engagements with SWIFT, BAFT, ICC and WTO organizations and is currently also the non-Executive Chairman of the Board of Bolero Inlt. Ltd.

Changmin Chun:
KOREA — Professor of Law: Seoul National University of Science and Technology, College of Business and Technology, Department of Business Administration (GTM Program) — Changmin Chun has been teaching and researching as professor of law at Seoul National University of Science and Technology since August 2019 notably focused on the areas of capital market law, fintech law, international finance law, etc. He is also actively advising the financial supervisory authority and the market infrastructure firms in capital markets. Before joining the University, Changmin Chun worked for the Korea Capital Market Institute (KCMI) for about 8 years. In the KCMI, Mr. Chun is mainly in charge of legal research of a wide range of regulatory issues in relation to capital markets and counsels to the financial supervisory authority and the major infrastructures in capital markets. Before joining the KCMI, Mr. Chun worked for almost 9 years for the Korea Securities Depository (KSD), the sole central securities depository in Korea. In the KSD, Mr. Chun dealt with the several back-office practices with regard to the beneficial shareholders’ rights exercise, CSD-linkages, local custodian operations, international securities transactions, etc. Mr. Chun is also currently serving as member the Committee of Capital Market Investigation and Deliberation that is one of the key committees of the Korea financial supervisory authority, i.e. the Financial Supervisory Commission. Since 2014, Mr. Chun has been also serving as Secretary General the Korea Private International Law Association. Mr. Chun got his doctoral degree (Dr. iur.) from the University of Hamburg in Germany with the grade of summa cum laude. His doctoral dissertation has been published by Springer Verlag with the title of “Cross-border Transactions of Intermediated Securities: A Comparative Analysis in Substantive Law and Private International Law". He also studied at McGill University in Canada and University of Minnesota in the U.S. for his LL.M. degrees.

Robin Doyle:
USA — MD Corporate Regulatory Strategy and Policy: JP Morgan Chase — Robin Doyle has worked at J.P. Morgan Chase for over 20 years and is currently Managing Director, Office of Regulatory Affairs, where she is responsible for developing strategic responses to a broad array of regulatory initiatives, analyzing the impact of regulatory proposals, developing the firm’s positions and preparing for the implementation of final rules. Ms. Doyle also spent over seven years as the Chief Financial Officer for Corporate Risk reporting to the firm’s Chief Risk Officer and has worked in JPMC’s Chase Home Finance business unit and managed the firm’s Accounting Policies Group. Prior to joining J.P. Morgan Chase, Robin worked for Midlantic National Bank, KPMG Peat Marwick, and Prudential Insurance Company. Ms. Doyle is on the NJ Junior Achievement State Board. She is a member of the Securities Industry and Financial Markets Association, the New York Clearing House, and the Risk Management Association. Ms. Doyle has an MBA in Finance from The Graduate School of Management, Rutgers University; a BS in Accounting from Rutgers, The State University; and is certified as a CPA in the State of NJ.

Daniel L. Goroff:
USA — Vice President and Program Director: Alfred P. Sloan Foundation — Daniel L. Goroff is Vice President and Program Director at the Alfred P. Sloan Foundation, a private philanthropy that supports breakthroughs in science, technology, and economics. His interests include behavioral economics, government regulation, reproducible research, mathematical knowledge management, as well as the economics of science and higher education. Mr. Goroff is Professor Emeritus of Mathematics and Economics at Harvey Mudd College, where he served as Vice President for Academic Affairs and Dean of the Faculty. Before that, he was a faculty member at Harvard University for over twenty years. Mr. Goroff has twice worked for the President’s Science Advisor in the White House Office of Science and Technology Policy, most recently as Assistant Director for Social, Behavioral, and Economic Sciences.

Salil Kumar Jha:
INDIA — Independent Director: Indian Bank — Mr. Salil Kumar Jha is Director of Indian Bank (a Government of India owned Bank). He is also Independent External Monitor of Oriental Bank of Commerce (another Govt owned Bank in India) Earlier Mr. Jha was Managing Director of Hindustan Aeronautics Ltd, the biggest defence & aerospace company of India where he served close to three decades. He was heading a number of manufacturing and R&D units and was instrumental in setting up of a greenfield defence electronics factory. During his tenure as MD, the company did exceedingly well in almost all parameters. He cultivated strategic partnership with Global defence majors. He has worked extensively on project and operation management areas. He has also served as non-official Chairman/Directors of five other aerospace & defence companies. Currently, he is advising companies on merger, acquisition and setting up new facilities in the area of aerospace & defence. Earlier he worked for Engineers India Ltd, a premier engineering consultancy company and NTPC,
the biggest power producing company of India. Mr. Jha is a graduate in engineering from National Institute of Technology, Jamshedpur and Master of Business Administration from Faculty of Management Studies, Delhi University.

**Wolfgang König :**

GERMANY — Chair of Information Systems and Information Management: J. W. Goethe University Frankfurt am Main — Wolfgang König has held the Chair of Information Systems and Information Management at Goethe University Frankfurt (Faculty of Economics and Business Administration) since 1991 and since 2008 has acted as Executive Director of the House of Finance at Goethe University, an interdisciplinary research and advanced education endeavor of the Faculties of Economics and Law. Moreover, he serves as the Chair of the E-Finance Lab, a public-private research partnership with Deutsche Bank, Deutsche Börse, DZ Bank Group, FinanzInformatik, and IBM (all tier-1 partners) and 360T, Interactive Data, and usd (all tier-2 partners), also located at the House of Finance. Prof. König earned a diploma in Business Administration and the Dr. rer. pol. degree from J. W. Goethe University Frankfurt, and was appointed after that as Professor of Information Systems at the Koblenz School of Corporate Management (a private university) from 1985 until 1990 where he served as Rector from 1986 - 1988. He spent more than two years doing research in the United States at Northwestern University, Chicago/Evanston, IL, and at the IBM Research Lab in San Jose, CA, and Yorktown Heights, NY. In 2005 he received the IBM Faculty Award for his research results on risk mitigation in the context of Business Process Outsourcing. From 1995 to 2000 he acted as speaker of a German National Science Foundation sponsored research program on “Networking as a Competitive Advantage” where he carried out research on standardization and on federated systems concepts. From 2004 - 2006 he was the Dean of the Faculty of Economics and Business Administration of Goethe University Frankfurt. Moreover, he served ten years as Editor-in-Chief of the IS Journal Business Informatics (previously known as WIRTSCHAFTSINFORMATIK). His research interests span standardization, networking and e-finance, and his publications appeared in journals including MIS Quarterly, Information Systems Frontiers, Journal of Global Information Systems, and WIRTSCHAFTSINFORMATIK (now Business Informatics). Prof. König serves as external member of the governing Board of Deufol SE, a logistics and packaging company in Wallau, Germany and chairs the Advisory Board of entrepreneurs of DZ Bank, the head institute of the cooperative banks in Germany.

**Alfredo Reyes Krafft :**

MEXICO — Founding Partner and Chairman: Lex Informática Abogados — Alfredo Reyes Krafft is an Executive Vice President at AMPICI (Internet Mexican Association). He is a member of the Telecommunications Law Institute, National Coordinator of ISO IEC JTC 1/SC 27/WG 2 Cryptography and security mechanisms and member of the promotion group for legislation in the area of e-Commerce (GILCE). Mr. Reyes Krafft is also a member of the Alfa-Redi community, as well as a member of the Internet Society Mexico Chapter. Prior to joining Lex Informática, and during his 40 years of professional experience, Mr. Reyes Krafft has held positions such as Legal Comptroller for Banco del Atlántico, NIC México Consulting Committee Member, President of the AMPICI, Innovation Concepts Regulation Director at Grupo BBVA, and GSI México Managing Council Secretary (Mexican Association of Standards form AMECE e-Commerce). Throughout his career, Mr. Reyes Krafft has been awarded various honors for his achievements in the area of Internet in Mexico. He was the first Mexican to obtain a CDPP Certification from the Data Privacy Institute in ISMS Forum, Spain. Mr. Reyes Krafft has also combined his professional practice with being a professor in different institutions, such as in the Law Postgraduate Studies at the Universidad Panamericana, in the INFOTEC's Information Technology Law M.A., in the Engineering PhD program at the Instituto Politécnico Nacional and the Instituto Tecnológico de Estudios Superiores de Monterrey – ITESM (Virtual Campus). He also served as professor at the Electronic Commerce Faculty in the Private Law PhD program at the Universidad de Salamanca. Mr. Reyes Krafft holds a Cum Laude Law PhD from the Universidad Panamericana, as well as Postgraduate Studies in Business Management at the Instituto Panamericano de Alta Dirección de Empresas. He is a specialist in Contracts and Damages from the Universidad de Salamanca in Spain. He is author of several books regarding legal matters in the area of Information Technology, Data Protection, and Personal Data Management.

**Hirosi Nakatake :**

JAPAN — Managing Director, Transaction Banking Division, MUFG Bank, Japan — Hirosi Nakatake has more than 25 years of banking experience, predominantly with banking technology at Japanese banks where he was responsible for derivative products, global IT governance control, cyber security control, and incident management. At present, he is Head of Global Products in the Transaction Banking Division, as well as covering the cross-border business of corporate customers, mainly in the UK, Singapore, Thailand, Hong Kong, China, and the US. He has served as a board member of the SWIFT since 2017, and also as a member of the Banking Service Committee and Corporate Advisory Group at SWIFT. He is a certified project manager in Japan. He received a B.A. Degree from Kyushu University, Japan, and studied at University of Mannheim, Germany.

**Monica Singer :**

SOUTH AFRICA — Creator of Opportunities at ConsenSys — Monica Singer is a Chartered Accountant (CA) and a Fellow of the Institute of Directors. She was the first CEO of South Africa’s Central Securities Depository (CSD), Strate (Pty) Ltd which she set up in 1998. Strate provides electronic clearing, settlement and depository functions for the JSE and other stock exchanges in South Africa, which includes equities and bonds and also money market instruments. In August 2017 Monica resigned as the CEO of Strate and all related board positions so she could concentrate in bringing blockchain technology to all relevant industries. In October 2017, Monica was appointed as “Creator of Opportunities” to ConsenSys being an international venture production studio using blockchain technology to build distributed applications on the Ethereum world computer. Monica is also a Patron of the Corporate Governance Framework (CGF) Research Institute in South Africa. Monica held numerous board and advisory positions. She was the Vice President of the African Middle East Regional Association of CSDs (AMEDA) and a member of the World Forum of CSDs (WFC). She was also involved in a number of charitable organisations including Strate Charity Shares and chaired for...
Stakeholders (continued)

10 years Afrika Tikun Investment Trust. Monica previously worked at the World Bank in Washington DC in 1995/96 and was the Technical Director of the South African Institute of Chartered Accountants (SAICA) from 1990 to 1996.

Elemér Terták:
HUNGARY — Former Principal Adviser: DG FISMA, European Commission — Elemér Terták had been until his retirement in February 2016 Principal Advisor at the Directorate General for Financial Stability, Financial Services and Capital Markets Union (DG FISMA) (former DG MARKT) of the European Commission. Beforehand he served for six years as Director, Financial Institutions at the same DG. Prior taking up his assignment in 2003 at the Commission he worked in his native country for more than one and a half decade in high ranking positions both in the banking industry and in the public sector. He has been CEO of the Dresdner Bank Hungary and of the KDB Bank, Hungary from 2002 to 2003 and from 1995 to 2001 respectively. He has served as Deputy Secretary of State in charge for regulation from 2001 to 2002 and had been the Permanent Secretary of State at the Ministry of Finance, Hungary, from 2003 to 2005. He served as Managing Director of the National Deposit Insurance Fund of Hungary from 1993 until 1995. In addition to his professional functions, Elemér Terták holds several honorary positions. He is a Board Member of the Hungarian Association of Economists, and of the Association of Hungarian Controllers. He has also been a member of various other Boards including the Budapest Stock Exchange, the Hungarian Banking Association, the Government Debt Management Ltd., the Student Loan Ltd. and is a registered arbitrator at the Financial and Capital Market Arbitration Court in Budapest. He has graduated in 1978 from the Marx Károly University of Economics, Budapest in economics, and obtained a doctoral degree in economics from Budapest University of Economics in 1998. He is proficient in English and German, and has basic language knowledge in French and Russian.

Kam Keung Tse:
CHINA - HONG KONG — CEO: Tradelink Electronic Commerce Limited — Mr. Tse was first appointed as an Independent Non-Executive Director of the Company in March 2013 and subsequently took over the CEO position in July 2015. As a result, he has also become a director of the Company’s various subsidiaries and associates. He has also been elected as the Vice Chairman of the Pan Asian e-Commerce Alliance since January 2017, a regional trade group for the e-commerce sector partly founded by Tradelink in 2000. Before joining the Company, Mr. Tse has worked mostly in the financial services industry, covering the Asia Pacific region. He began at State Street in 1993 to develop and lead its investment servicing business in the region and was the first international officer to get promoted to Executive Vice President in 2002. He completed his career there as an advisor till early 2015. Before State Street, he had worked for Standard Chartered, Ogilvy & Mather, Baring Securities and the Hong Kong Government. Mr. Tse has served on many outside boards and committees. He is currently on the Process Review Panel of the Financial Reporting Council, the Hang Seng Index Advisory Committee and the Investment Committee of Hopu Fund. He also serves on the governing boards of the New Life Psychiatric Rehabilitation Association, Lawrence University and Lee Woo Sing College of the Chinese University of Hong Kong. Mr. Tse received the CW Chu Scholarship to study in the US and obtained his BA from Lawrence University. He got his MBA from the Chinese University of Hong Kong and also got the Government’s sponsorship to obtain his MPA from the University of Hong Kong. He is a Fellow of the Australia Institute of Management Accountant and a full member of the Australia Institute of Public Accountants and the UK Institute of Financial Accountants.

Henrique de Rezende Vergara:
BRAZIL — Sole partner at IVergara Advocacia — Henrique de Rezende Vergara is sole partner of his law firm IVergara Advocacia. Before that, Mr. Vergara was a senior partner of Motta, Fernandes Advogados, a Brazilian law firm, where he developed his practice in corporate and securities law. Mr. Vergara has 20 years of professional experience in the Brazilian Capital Markets, having occupied positions both in the public and private sectors. He began his career as an attorney at the Comissão de Valores Mobiliários — CVM, the Brazilian Securities Commission, where he served as General Counsel, in charge of the Commission’s legal department, and as the head of the Market Regulation Office. Mr. Vergara has worked for many years as General Counsel of the Brazilian securities and derivatives exchanges, where he actively participated in strategic projects such as the demutualization of BM&F, the former Brazilian derivatives exchange, and its merger with Bovespa, the former Brazilian Stock Exchange. He was also the General Secretary of the Camara de Arbitragem do Mercado — CAM, the arbitration chamber administered by B3 S.A., the new denomination of the Brazilian exchange, and is currently a member of its panel of arbitrators. At present, Mr. Vergara is a member of the Supervisory Council of BSM – BM&FBovespa Supervisão de Mercados, the self-regulatory entity with surveillance authority over the stock and derivatives markets run by B3 S.A. He is also a member of the Board of Directors of ABRASCA, the association of Brazilian publicly listed companies. Mr. Vergara obtained his bachelor degree in Law from the Federal University of Rio de Janeiro in 1994.

Zaiyue Xu:
CHINA — CEO: China International Payment Service Corp. (CIPS) — Mr. Zaiyue Xu is the CEO of China International Payment Service Corp. (CIPS), appointed in December 2018. Before joining CIPS, he served as the Executive Vice President of China Foreign Exchange Trade System (CFETS). With rich experience in various areas including FinTech and RegTech, IT and data governance, strategic planning, etc., he has played a constructive role in the development of the Interbank Market Electronic Trading Systems in China. He took charge of the development of more than ten key inter-bank electronic platforms, such as Foreign Exchange Trading System, Inter-bank RMG Trading System, Gold Trading System, and Shibor (Shanghai Interbank Offered Rate) System. He has also promoted the research of core technical areas of electronic platforms and has led his team to apply for patents and intellectual property based on the work above. As Executive Vice President of CFETS, he has developed overall technical missions and strategies, enhanced the level of IT governance, constructed a team with expertise of construction of inter-bank market and promoted cooperation on research and training with various university and research institutions. As member of China Financial Standardization Technical Committee (CFSTC) and convener of Interbank Technical Standardization Working — continued next page
Group, he has led the work of standard drafting and implementation of China Interbank Market. In addition, he has rich experience of international and cross-cultural engagement. He has taken an active part in the activities of ISO TC68. In November 2018, after serving the role of vice convener of ISO 20022 Foreign Exchange Standard Evaluation Group (FX SEG) for five years, he was elected convener of ISO 20022 FX SEG, with the responsibility of coordinating the work of experts from 11 countries or liaison organizations. In November 2017, he hosted ISO 20022 RMG Shanghai meeting, with 33 delegates from 26 countries and organizations. He initiated the submission and development of eight ISO 20022 messages on FX post-trade trade confirmation and FX trade capture. Since their release in 2016, these eight messages have been well implemented in the post-trade confirmation system of China inter-bank market. He also organized drafting ISO technical specification “Web Service based APIs in Financial Service”, joined ISO TC68/SC9/WG2, and led the work of UNIFI modeling of Inter-bank Market Information Exchange Protocol (IMIX) in multi-standard semantics portal project of ISO/TC68/SC9/WG1.

Thomas Sprecher:
SWITZERLAND — Corporate Secretary: GLEIF; Partner: Niederer Kraft & Frey — Thomas Sprecher was appointed by the GLEIF Board as Corporate Secretary. Mr. Sprecher has been a partner of the Swiss law firm Niederer Kraft & Frey since 2001. He regularly acts as counsel to national and international foundations, associations, companies and private clients. He is a member of the Legal Counsel of Swiss Foundations, the association of Swiss grant-making foundations. Due to his vast experience in Swiss Foundation Law, Thomas was elected in 2004 as editor of the Swiss Foundation Code. He teaches at the Centre for Philanthropy Studies (CEPS) at the University of Basle and regularly speaks at professional conferences. With the Europe Institute of Zurich, he chairs a yearly seminar on foundation matters. He is the Chair and member of several Boards of Swiss foundations. Mr. Sprecher holds PhDs both in law and philosophy and a LLM in international business law from the University of Zurich. He authored numerous books and articles especially on foundation law.

LEI ROC Observers:
In order to fulfill its role as overseer of the Global Legal Entity Identifier Foundation (GLEIF), two or more members of the Legal Entity Identifier Regulatory Oversight Committee (LEI ROC) act as observers of the GLEIF Board. These LEI ROC members have no voting rights in the GLEIF Board. Currently, there are four LEI ROC observers to the GLEIF Board.

Alan Deaton:
UNITED STATES — Federal Deposit Insurance Corporation — Alan Deaton currently serves as Associate Director in the Division of Insurance and Research at the Federal Deposit Insurance Corporation (U.S.). He oversees a team that manages critical data collected from insured depository institutions, maintains a variety of data management systems used to analyze the data, and publishes the data for public use. Alan has worked at the FDIC since 1997 and has held roles in project management, data management, financial analysis, and economic analysis. Alan completed his undergraduate studies at Wake Forest University with a double major in Economics and German and received the distinction of Master of Business Administration from the University of Maryland.

Jurgen A. Boyd:
SOUTH AFRICA — Financial Sector Conduct Authority of South Africa — Jurgen Boyd is a Chartered Accountant, with almost 18 years experience in financial services regulation at the Financial Sector Conduct Authority of South Africa. He is currently responsible for the oversight of the conduct of stock exchanges, central securities depositories, OTC derivatives and benchmark product providers as well as rating agencies. Prior to this role he was responsible for the supervision of the Retirement Funds and Collective Investment Industries.

François Laurent:
European Central Bank — François Laurent works at the European Central Bank (ECB) as a senior manager in the Statistics Department, where one of his activities is to deal with data management and standardisation. He held previously manager positions in different departments on the bank, notably in IT and Market Infrastructures. Prior to working at the ECB, François worked as an IT manager in different central bank institutions (Banque de France, BIS, European Monetary Institute). François represents the ECB in various standardisation organisations; this includes ISO (where he also chairs the Standard Advisory Group) and the LEI-ROC (for which he was elected Vice-chair in January 2019). He also currently co-chairs a working group of the Financial Stability Board (FSB) which aims at setting a proper governance for new identifiers established for OTC derivatives markets. Furthermore, he co-chairs the “ Frankfurter Gruppe”, an informal think tank collecting representatives of European supervisory authorities, the EC and selected representatives of the banking industry and of standard-setting bodies, and aiming at exploring and promoting the use of data standards in financial reporting and in the financial industry.

P. Vasudevan:
INDIA — Reserve Bank of India — Vasudevan, Chief General Manager, presently heads the payments and settlement systems department in the Reserve Bank of India (RBI). The function involves regulating, supervising, framing policies, facilitating innovation and playing a catalytic role encompassing many bank and non-bank payment system operators. He has headed and successfully implemented large payment system projects in RBI. He represented RBI in the CPSS Working Group on Retail Payments. He also has extensive experience in the banking and non-banking supervision space, apart from a satisfying time as a member-of-faculty training commercial bankers. Vasudevan represents the RBI on the Committee on Payments and Market Infrastructures (CPMI) of the Bank for International Settlements (BIS), the SAARC Payments Council and the SEACEN Payments Group. A career central banker with over 25 years of experience, Vasudevan is a cost accountant with masters in finance and certifications in information systems audit and information security management.
Mission
To provide services and data for legal entity identification

Values

**Disinterest**: GLEIF is, by its statutes, agnostic to any particular commercial or political interests. GLEIF is uniquely positioned in the entity identification market.

**Operational Integrity**: GLEIF services ensure the operational integrity of the Global LEI System. GLEIF continuously increases both the information available within, and the quality of, the LEI data pool and makes public access to the information ever easier. To obtain an overview of the services GLEIF provides to the public and to its partners in the Global LEI System, refer to the GLEIF Service Catalog.

**Standards**: Thanks to the services provided by GLEIF, the LEI remains the industry standard best suited to providing open and reliable data for unique legal entity identification management.

**Coherence**: GLEIF has endorsed the International Open Data Charter, which aims to foster greater coherence and collaboration to promote the increased adoption and implementation of shared open data principles, standards and good practice across sectors around the world.

**Collaboration**

**Networking**: The values of the Global Legal Entity Identifier Foundation (GLEIF) are driven by our mission to manage a network of partners, i.e. the LEI issuing organizations, to provide trusted services and open, reliable data for unique legal entity identification worldwide.

**Performance**: Our people reflect the open, creative and innovative nature of our operational excellence.

**Integrity**: We do what we say, and we say what we mean. We reflect our commitment by providing expertise and reliability throughout our organization and management of the Global LEI System.

**Respect**: Treat customers, partners, employees and peers with the same respect that you wish to be treated with. Assume good intentions – and in case of doubt simply ask.

**Visibility**: We provide transparency and accessibility to legal entity identification and data services.

**Openness**: We support open global participation and engagement with the system.
Network

*Manage a network of LEI partners*

**Stakeholder(s)**

**LEI Issuing Organizations**

GLEIF manages a network of partners, known as the LEI issuing organizations, to provide trusted services and open, reliable data for unique legal entity identification worldwide.

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**Administrative Information**

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